

**Instructions**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 

YYYY	MM	DD
2 0 1 8	0 5	0 1

 to 

YYYY	MM	DD
2 0 1 8	1 2	3 1

- Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)
- Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

**Box A: Name of Candidate and Office**

Candidate's name as shown on the ballot

 Last Name or Single Name  
Butt

 Given Name(s)  
Shaheen

 Office for which the candidate sought election  
Local Councillor

 Ward name or no. (if any)  
Ward 3

 Municipality  
City of Pickering

 Spending Limit - General  
\$ 28,828.05

 Spending Limit - Parties and Other Expressions of Appreciation  
\$ 2,882.5

I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

**Box B: Declaration**

I, SHAHEEN BUTT, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

 2019/03/15 25<sup>00</sup> YE

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)

2019/03/15

Time Filed

4:05 p.m.

Initial of Candidate or Agent (if filed in person)

SB

Signature of Clerk or Designate



**Box C Statement of Campaign Income and Expenses****LOAN**

Name of bank or recognized lending institution \_\_\_\_\_

Amount borrowed \$ \_\_\_\_\_

**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	<u>30,417.57</u>
Revenue from items \$25 or less	+ \$	_____
Sign deposit refund	+ \$	_____
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	_____
Interest earned by campaign bank account	+ \$	_____
Other (provide full details)		
1. Sign inventory from previous campaign	+ \$	<u>990.00</u>
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
<b>Total Campaign Income (Do not include loan)</b>	<b>= \$</b>	<b><u>31,407.57</u> c1</b>

**EXPENSES** (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	<u>990.00</u>
Advertising	+ \$	<u>779.70</u>
Brochures/flyers	+ \$	<u>5,026.63</u>
Signs (including sign deposit)	+ \$	<u>8,337.01</u>
Meetings hosted	+ \$	_____
Office expenses incurred until voting day	+ \$	<u>748.48</u>
Phone and/or internet expenses incurred until voting day	+ \$	<u>1,892.25</u>
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	<u>1,342.00</u>
Bank charges incurred until voting day	+ \$	_____
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. Polling services	+ \$	<u>9,661.50</u>
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
<b>Total Expenses subject to general spending limit</b>	<b>= \$</b>	<b><u>28,777.57</u> c2</b>

**EXPENSES****Expenses subject to spending limit for parties and other expressions of appreciation**

1. Gifts given to campaign workers	+ \$	<u>1,500.00</u>
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
<b>Total Expenses subject to spending limit for parties and other expressions of appreciation</b>	<b>= \$</b>	<b><u>1,500.00</u> c3</b>

**Expenses not subject to spending limits**

Accounting and audit	+ \$	<u>1,130.00</u>
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	<u>                    </u>
Office expenses incurred after voting day	+ \$	<u>                    </u>
Phone and/or internet expenses incurred after voting day	+ \$	<u>                    </u>
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	<u>                    </u>
Bank charges incurred after voting day	+ \$	<u>                    </u>
Interest charged on loan after voting day	+ \$	<u>                    </u>
Expenses related to recount	+ \$	<u>                    </u>
Expenses related to controverted election	+ \$	<u>                    </u>
Expenses related to compliance audit	+ \$	<u>                    </u>
Expenses related to candidate's disability (provide full details)		
1. _____	+ \$	<u>                    </u>
2. _____	+ \$	<u>                    </u>
3. _____	+ \$	<u>                    </u>
4. _____	+ \$	<u>                    </u>
5. _____	+ \$	<u>                    </u>
Other (provide full details)		
1. _____	+ \$	<u>                    </u>
2. _____	+ \$	<u>                    </u>
3. _____	+ \$	<u>                    </u>
4. _____	+ \$	<u>                    </u>
5. _____	+ \$	<u>                    </u>
<b>Total Expenses not subject to spending limits</b>	<b>= \$</b>	<b><u>1,130.00</u> C4</b>

**Total Campaign Expenses (C2 + C3 + C4) = \$ 31,407.57 C5**

**Box D: Calculation of Surplus or Deficit**

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	<u>0.00</u> D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$	<u>                    </u> D2
<b>Total (D1 – D2)</b>	<b>= \$</b>	<b><u>                    </u></b>
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	<u>                    </u>
Surplus (or deficit) for the campaign	<b>= \$</b>	<b><u>                    </u> D3</b>

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

**Schedule 1 - Contributions**

**Part I – Summary of Contributions**

Contributions in money from candidate and spouse	+ \$	<u>617.57</u>
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$	<u>                    </u>
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$	<u>                    </u>
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$	<u>29,800.00</u>
<b>Less:</b> Contributions returned or payable to the contributor	– \$	<u>                    </u>
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	– \$	<u>                    </u>
<b>Total Amount of Contributions (record under Income in Box C)</b>	<b>= \$</b>	<b><u>30,417.57</u> 1A</b>

**Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse**

**Table 1: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
see attached list			29,800.00	
<b>Total</b>			<b>29,800.00</b>	

Additional information is listed on separate supplementary attachment

**Table 2: Contributions in goods or services from individuals other than candidate or spouse**  
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Additional information is listed on separate supplementary attachment **Total**

**Total for Part II - Contributions exceeding \$100 per contributor**  
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) \$ 29,800.00 1B

**Part III – Contributions from candidate or spouse**

**Table 3: Contributions in goods or services**

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		<b>Total</b>

**Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign**  
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
small signs	2014/09/05	Sound Marketing	75	339.00
Large signs	2014/09/05	Sound Marketing	75	651.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				<b>Total</b>
				<b>990.00</b>

**Schedule 2 – Fundraising Events and Activities**

**Fundraising Event/Activity**

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity \_\_\_\_\_

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

**Part I – Ticket revenue**

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	\$	2A	
Number of tickets sold	X	_____	2B
<b>Total Part I (2A X 2B) (include in Part 1 of Schedule 1)</b>			= \$ _____

**Part II – Other revenue deemed a contribution**

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+	\$ _____	
2. _____	+	\$ _____	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
<b>Total Part II (include in Part 1 of Schedule 1)</b>			= \$ _____

**Part III – Other revenue not deemed a contribution**

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____	+	\$ _____	
2. _____	+	\$ _____	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
<b>Total Part III (include under Income in Box C)</b>			= \$ _____

**Part IV – Expenses related to fundraising event or activity**

Provide details

1. _____	+	\$ _____	
2. _____	+	\$ _____	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
6. _____	+	\$ _____	
7. _____	+	\$ _____	
8. _____	+	\$ _____	
<b>Total Part IV Expenses (include under Expenses in Box C)</b>			= \$ _____

**Auditor's Report***Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Chartered Professional Accountant

Municipality

Pickering

Date (yyyy/mm/dd)

2019/03/15

**Contact Information**

Last Name or Single Name

Rutland

Given Name(s)

Edward

Licence Number

11692

Address

Suite/Unit No.

1024

Street No.

Street Name

Rouge Valley Drive

Municipality

Pickering

Province

ON

Postal Code

L1V 4N7

Telephone No. (including area code)

905 706-6369

Email Address

edrutland@rogers.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.



**Part II - Contributions exceeding \$100 per contributor**

<b>Name of Donor</b>	<b>Address</b>	<b>bank deposit</b>	<b>\$</b>
waseem mohammed	18 Reginald Lamb Cres. Markham, On L6B 0B5	August 28, 2019	\$ 500.00
Anthony biglieri	28 Bellefair Ave. Toronto, ON M4L3T8	September 26, 2019	\$ 700.00
Alana De Gasperis	152 Headwind Blvd. Vaughan ON L4H 4c7	September 28, 2019	\$ 500.00
Carlo De Gasperis	127 Pine Valley Crescent Woodbridge, On L4L 2W3	August 28, 2019	\$ 500.00
Dan baruch	69 Aldershot Cres North York On M2P 1L7	August 28, 2019	\$ 500.00
Dennis Kohn	5400 Yonge St Suite301 Toronto,ON M2N 5R5	August 28, 2019	\$ 500.00
Fatemeh Lajevardi	112 Boake Trail Richmond Hill, ON L4V 4V7	June 14, 2019	\$ 250.00
Jack Eisenberger	88 Hillmount Ave Toronto, On M6B1X6	August 28, 2019	\$ 500.00
Karen Baruch	12 Gordon Road North York M2P 1E1	August 28, 2019	\$ 500.00
Jeremy Baruch	69 MCGillivray Ave Toronto, ON M5M 2Y3	August 28, 2019	\$ 500.00
Kenneth Rovinelli	1163 Old Post Drive Oakville, On L6M 1A5	August 28, 2019	\$ 500.00
Lorne Udaskin	46 Purdon Drive North York ON M3H 4X1	August 28, 2019	\$ 500.00
Yitchok Gunsberg	5400 Yonge St Suite301 Toronto,ON M2N 5R5	August 28, 2019	\$ 500.00
Silvio De Gasperis	43 Cowan Drive Woodbridge, On L4L 7H4	August 28, 2019	\$ 500.00
Michael De Gasperis	152 Headwind Blvd. Vaughan ON L4H4c7	August 28, 2019	\$ 500.00
Michael Brown	1802 Rosebank Road, Pickering, ON	June 14, 2019	\$ 250.00
Laura Apostopoulos	488 Worthington Ave. Richmond Hill On L4E 4R6	September 17, 2019	\$ 1,200.00
Kevin Brown	10 Flanders Road Toronto, ON M6C 3K6	June 9, 2019	\$ 1,200.00
David Friedman	801-150 Ferrand Drive Toronto, On M3C 3E3	May 31, 2019	\$ 1,200.00
Jennfier Schaefer	1475 Old Forest Road Pickering, ON L1V 1N8	August 28, 2019	\$ 1,200.00
Kathy Apostopoulous	801 O'Connor Drive East York, ON	September 17, 2019	\$ 1,200.00
stephen warsh	1604-1 Bedford Road Toronto, ON m5r2b5	May 31, 2019	\$ 1,200.00
Blair Seeley	39 Hill Top Trail Stouffville, ON L4A 3G7	September 7, 2019	\$ 1,200.00
Grantly Morris	397 Sheppard Ave Pickering, ON L1V 1E6	September 17, 2019	\$ 500.00
Tony Hunt	1751 Silver Maple Drive, Pickering, ON L1V 6X9	September 26, 2019	\$ 1,200.00
cheryl schollen	72 Sir Lancelot Drive Markham ON L3P2j2	September 26, 2019	\$ 1,200.00
Vincent Nigro	21 Brussels Court Woodbridge,ON L4L 3E2	September 26, 2019	\$ 1,200.00
William fong	1 Glenarden Cres. Richmond Hill ON	September 17, 2019	\$ 600.00
Barry Pettit	201 Wedgewood Dr North York ONM2M2H8	September 26, 2019	\$ 500.00
Richard Schollen	72 Sir Lancelot Drive Markham ON L3P2j2	September 26, 2019	\$ 1,200.00
Madeline Lunney	66 Latimer Ave toronto, ON M5N2L8	October 12, 2019	\$ 1,200.00
Jacqueline Griffith	5010 Concession 4, Goodwood ON L0C 1A0	October 22, 2019	\$ 1,200.00
George Griffith	5011 Concession 4, Goodwood ON L0C 1A0	October 22, 2019	\$ 1,200.00
Belmira Griffith	5012 Concession 4, Goodwood ON L0C 1A0	October 22, 2019	\$ 1,200.00
Sacha Singh	570 Bloor St. West Toronto, ON M6G 1K1	October 17, 2019	\$ 1,000.00
Lana Lavoie	71 Castleglen Blvd Markham, ON L6C0A9	October 12, 2019	\$ 1,000.00
Rollande Ruston	Suite 68 - 22 Saint Thomas St. Toronto ON M5S 3E7	October 22, 2019	\$ 500.00
<b>Total donations</b>			<b>\$ 29,800.00</b>



CHARTERED PROFESSIONAL ACCOUNTANT

**March 15, 2019**

**INDEPENDENT AUDITOR'S REPORT**

To: Shaheen Butt – Candidate for Local Councillor Ward 3 Pickering  
Municipal Elections Act, 1996 (Section 78)

**Opinion**

I have audited the accompanying Financial Statement Form 4, Statement of Campaign Income and Expenses of Shaheen Butt for the campaign period of May 1, 2018 to December 31, 2018 for the election held on October 22, 2018.

In my opinion, except for the possible effect of the matter described in the Basis for Qualified Opinion section of my report, the accompanying Form 4 financial statement presents fairly, in all material aspects, the financial position of Shaheen Butt's election campaign and the results of its operations from May 1, 2018 to December 31, 2018 in accordance with the financial reporting provisions of the Municipal Elections Act, 1996 and the guidelines issued by the Ministry of Municipal Affairs and Housing.

**Basis for Qualified Opinion**

Due to the inherent nature of the transactions of organizations of this type, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, my verification of income and expenses was limited to the amounts recorded in the records of the candidate and I was not able to determine whether any adjustments might be necessary to income, expenses and period surplus/deficit for the campaign period from May 1, 2018 to December 31, 2018.

**Qualified Opinion**

I conducted my audit in accordance with Canadian generally accepted auditing standards. My responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statement* section of my report. I am independent of the Candidate in accordance with the ethical requirements that are relevant to my audit of the financial statement in Canada, and I have fulfilled my other ethical responsibilities in accordance with these requirements. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my qualified opinion.

## **Responsibilities of Management and Those Charged with Governance for the Financial Statement**

Management is responsible for preparation and fair presentation of the financial statement in accordance with the financial reporting provisions of the Municipal Elections Act, 1996 and the guidelines issued by the Ministry of Municipal Affairs and Housing. This includes maintaining such internal control as management determines is necessary to enable the preparation of a financial statement that is free from material misstatement, whether due to fraud or error.

Those charged with governance are responsible for overseeing the Company's reporting process.

## **Auditor's Responsibilities for the Audit of the Financial Statement**

My objectives are to obtain reasonable assurance about whether the financial statement as a whole is free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of this financial statement.

As part of an audit in accordance with Canadian generally accepted auditing standards, I exercise professional judgment and maintain professional skepticism throughout the audit. I also:

- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Entity's internal control.
- Obtain an understanding of the Municipal Elections Act, 1996 and the guidelines issued by the Ministry of Municipal Affairs and Housing.



Edward Rutland, Chartered Professional Accountant  
Licensed Public Accountant